

TITLE: A PARASITICIDAL FORMULATION FOR ANIMALS

INVENTOR: RICHARD MIHALIK

ATTORNEY DOCKET No.: 506401-0058

EXPRESS MAIL LABEL No.: EV 259628754 US

### **DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled A PARASITICIDAL FORMULATION FOR ANIMALS AND A METHOD OF MAKING THIS FORMULATION the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: NONE

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: NONE

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and to file and prosecute any corresponding foreign applications, including any international applications under the Patent Cooperation Treaty or the European Patent Convention: William B. Kircher, Reg. No. 22,481; James H. Marsh, Jr., Reg. No. 24,533; J. David Wharton, Reg. No. 25,717; Joseph B. Bowman, Reg. No. 25,807; Richard R. Johnson, Reg. No. 27,452; James H. Riley, II, Reg. No. 31,131; Joan Optican Herman, Reg. No. 31,968; Michael B. Hurd, Reg. No. 32,241; Devon A. Rolf, Reg. No. 35,337; Michael J. Gross, Reg. No. 35,528; Daniel W. Shinn, Reg. No. 40,810; B. Trent Webb, Reg. No. 40,865; Susan J. Wharton, Reg. No. 41,524; Timothy C. Bickham, Reg. No. 41,618; Scott B. Strohm, Reg. No. 42,172; and Clinton G. Newton, Reg. No. 42,930. Address all correspondence to: Susan J. Wharton, SHOOK, HARDY & BACON L.L.P., One Kansas City Place, 1200 Main Street, Kansas City, Missouri 64105-2118, telephone number (816) 474-6550.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

  
Richard Mihalik

5/15/00  
Date

Residence:

St. Joseph, Buchanan County, Missouri

Citizenship:

United States of America

Post Office Address:

2520 Felix Street  
St. Joseph, MO 64501



**UNITED STATES DEPARTMENT OF COMMERCE**

**Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

JULY 28, 2000

PTAS

SHOOK, HARDY & BACON, L.L.P.  
SUSAN J. WHARTON  
1200 MAIN STREET  
KANSAS CITY, MO 64105-2118



\*101373354A\*

This copy is intended for the  
attached Divisional Patent  
Application submitted herewith.

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

MIHALIK, RICHARD

DOC DATE: 05/15/2000

**ASSIGNEE:**

PHOENIX SCIENTIFIC, INC.  
3915 S. 48TH ST. TERRACE  
P.O. BOX 8039  
ST. JOSEPH, MISSOURI 64503

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STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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Attorney Docket No. PHSC.70513

FORM PTO-1595

5.18.00

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SHEET

U.S. DEPT. OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): RICHARD MIHALIK

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PHOENIX SCIENTIFIC, INC.

Internal Address: P. O. BOX 8039

Street Address: 3915 S. 48TH STREET TERRACE

City: ST. JOSEPH

State: MISSOURI

Zip: 64503

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

Execution Date: MAY 15, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: MAY 15, 2000

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SUSAN J. WHARTON

Internal Address: Shook, Hardy & Bacon L.L.P.  
One Kansas City Place

Street Address: 1200 Main Street

City: Kansas City

State: Missouri

Zip: 64105-2118

6. Total number of applications and patents involved: ..... 1

7. Total fee (37 CFR 3.41) ..... \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No.: 19-2112

(Attach duplicate copy of this page if paying by deposit account)

06/02/2000 JSHRBAZZ 00000194 09573513

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan J. Wharton

5/18/00

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

## ASSIGNMENT

WHEREAS, I, Richard Mihalik, of 2520 Felix Street, St. Joseph, in the County of Buchanan, and State of Missouri, have invented certain new and useful improvements in A PARASITICIDAL FORMULATION FOR ANIMALS AND A METHOD OF MAKING THIS FORMULATION for which I am about to make application for Letters Patent of the United States; and

WHEREAS, PHOENIX SCIENTIFIC, INC., a corporation duly organized under the laws of the State of Delaware, and having its principal place of business at 3915 S. 48th St. Terrace, St. Joseph, Missouri, County of Buchanan, and State of Missouri, is desirous of acquiring an interest in, to and under said invention, said application and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, RICHARD MIHALIK, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said PHOENIX SCIENTIFIC, INC., the full and exclusive right, title and interest, throughout the world, in, to and under said invention as fully set forth and described in the specification prepared and executed by me on even date herewith preparatory to obtaining Letters Patent of the United States therefor; in, to and under said application; in, to and under any and all refilings, divisions and continuations of said application; in, to and under any and all Letters Patent of the United States of America which may issue from said application, refilings, divisions and continuations; in, to and under any and all reissues of said Letters Patent of the United States of America; in, to and under any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America; in, to and under

any and all refilings, divisions and continuations of said foreign-filed applications; in, to and under any and all Letters Patent of countries foreign to the United States of America, which may issue from the said foreign-filed applications, refilings, divisions and continuations; and in, to and under any and all extensions of, and additions to, said Letters Patent of countries foreign to the United States of America, the same to be held and enjoyed by said PHOENIX SCIENTIFIC, INC. for its own use and behoof, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and I do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent in accordance with this assignment.

EXECUTED this 15th day of May, 2000.

  
Richard Mihalik

STATE OF MISSOURI :  
: ss.  
COUNTY OF BUCHANAN :

Before me personally appeared Richard Mihalik, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

  
Notary Public

SEAL

My Commission Expires:

12/16/03

